Assessment of Patients Affected by Treatment-Resistant Depression:
Findings from a Real-World Study in Italy

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Abstract
The study aims to estimate the number of patients affected by treatment-resistant depression (TRD) in Italy, and to analyse the therapeutic pathways and drug utilization in real-world settings. This retrospective study is based on administrative databases of Italian Entities. Data were re-proportioned on the Italian population. Adult patients were included from July 2011 to December 2017 if they underwent ≥2 antidepressant (AD) cycles matching the following criteria: i) duration of ≥4 weeks for each cycle; ii) presence of ≥1 prescription for each AD at the maximum dosage.

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reported in the datasheet; iii) grace period of ≤ 30 days to switch between AD; iv) if no changing occurs, treatment maintained ≥ 9 months. Patients prescribed antipsychotics or mood stabilizers in the 6-months prior inclusion were excluded. Sensitivity analyses were performed taking into account ≥ 6 and ≥ 8 weeks with the same AD or considering the mean maximum dosage (84.2% of maximum dosage).

Considering the Italian population, 101,455 patients were estimated to have TRD according to the inclusion/exclusion criteria applied and represented the 4.2% of patients prescribed AD in Italy. For thresholds of ≥ 6 and ≥ 8 weeks for cycle duration, TRD patients were estimated to be 97,223 and 96,213, respectively. When the mean maximum dosage criterion was selected, 130,049 TRD patients were identified: of them, 45,198 had a psychiatric visit/hospitalization, 32,341 of which added another AD/mood-stabilizer/antipsychotic to the current therapy.

In conclusion, we gave an estimation at the National level of TRD patients in Italy, considering different AD dosages and AD cycle durations to provide realistic scenarios.

1. Introduction

Major Depressive Disorder (MDD) is a debilitating and life-threatening mental illness leading to functional disability in affected individuals [1]. MDD is associated with significant morbidity and mortality [2], and represents a worldwide public health-concern. According to the Global Burden of Disease (GBD) 2010 study, MDD is in the top 30 most common disease [3] and the World Health Organization ranked depression as the single largest contributor to global disability, representing the 7.5% of all years lived with disability (YLD) in 2015 [4]. The most recent epidemiological estimates reported a predicted point prevalence for MDD of 4.7% (4.4% - 5.0%) globally [5].

Several treatment options either pharmaceutical or non-pharmaceutical are currently available to manage MDD, with a consistent number of antidepressant drugs (AD) developed over the last 50 years [6]. However, MDD burden is mainly due to unsuccessful treatments. In a significant proportion of MDD patients (around 20-30%), therapeutic response is only partially or not achieved with the majority of first-line treatment strategies, and sequential interventions are often required [7, 8]. MDD is indeed associated with high rates of treatment failure and relapse that increase with the number of treatment steps [9]. MDD patients who do not satisfactorily respond to adequate treatments are considered to have treatment-resistant depression (TRD).

TRD imposes a significant health and social burden as it has considerable effects on patients quality of life and a major impact on their family as well. TRD is regarded as a complex phenomenon influenced by the high degree of heterogeneity in depression subtypes and psychiatric comorbidities [10]. Over the years, many clinical definitions of TRD were reported, however a standard definition does not currently exist. The European Union’s Committee for Human Proprietary Medicinal Products (CHMP) defined TRD as an inadequate response (i.e. a lack of clinically
meaningful improvement) despite the consecutive treatment of at least two AD (belonging to the same or different class) at adequate doses, prescribed for adequate duration with adequate affirmation of treatment adherence [11].

A standard approach for the management of TRD is not yet established. The strategies suggested by the international guidelines for second-line treatment of MDD and that are usually applied in clinical routine care include: dose escalation of the AD dispensed, switching to another AD, combination of 2 or more AD and augmentation of a non-antidepressant agents as antipsychotics or mood stabilizers to the ongoing AD therapy [2, 12].

The lack of a universal definition for this condition results in the wide variation of TRD estimate rates observed in literature. Moreover, the subjectivity involved in the assessment of the proper criteria to delineate TRD (such as therapy duration, daily dose and number of treatments) challenges the use of administrative claims databases, which act as tools to study large number of patients in real-world health-care settings. Cepeda et al.[7] build a data-driven definition to discriminate between individuals with and without TRD considering the number of distinct antidepressants (≥3) or antipsychotics (≥1) prescribed over the last year.

As far as Italy is concerned, to the best of our knowledge, the only analysis from which an estimation of TRD patients can be derived is provided by the Italian Medicines Agency (AIFA) and it regards patients that in 1 year receive 3 different antidepressant prescriptions [13]. This administrative observation does not indicate the selection of extraction criteria to define a specific resistant population. Therefore, real-world data to select a potential and more realistic TRD population in Italy applying European Medicines Agency (EMA) definition are needed. In this context, the aims of the present study were to estimate the number of patients affected by TRD in Italy, and to provide a full characterization of their therapeutic pathways and drug utilization in an Italian real-world setting.

2. Methods
2.1 Data source
This observational retrospective analysis was based on administrative databases of one Italian Region and one Local Health Unit (LHU), and data were re-proportioned on the Italian population. To perform the analysis, data were retrieved from the following databases: beneficiaries’ database that contains all demographic data for patients analysed (deaths included); pharmaceutical database providing data on prescription as Anatomical-Therapeutic Chemical (ATC) code, number of packages, number of units per package, and prescription date; hospitalisation database that includes all hospitalization data; outpatient specialist services database, which contains date of prescription, type, description activity of diagnostic tests and visits for patients in analysis.

The patient code in each database allowed electronic linkage between all different databases. To guarantee patients’ privacy, an anonymous univocal numeric code was assigned to each subject included in the study, in full compliance with the European General Data Protection Regulation (GDPR) (2016/679). Based on this procedure, the extracted
data did not permit - either directly or indirectly - the identification of patients involved in the analysis and no identifiers related to patients were provided to the authors. All the results of the analyses were produced as aggregated summaries. According to the Italian law [14], this study has been notified to the local Ethics Committee of the Region and LHU involved in the study and the Ethic Committees have approved the study. According to the pronouncement of the Data Privacy Guarantor Authority (General Authorization for personal data treatment for scientific research purposes – 01/03/2012 and following further measures) data treatment using encrypted retrospective information is authorized without patient Informed Content when the collection is impossible due to organizational reasons.

2.2 Study design

All patients ≥18 years old were included if they presented between July 1st, 2011 and December 31st, 2017 at least 2 AD cycles who met the four TRD criteria reported in Figure 1 and listed in the following: i) cycle duration of ≥ 4 weeks with the same treatment, as short period treatments could indicate safety issues or diagnosis other than MDD; ii) presence of at least one prescription for each AD therapy at the maximum dosage labelled in the summary of product characteristics (SMPC), to avoid patients treated with low doses of AD due to different diagnoses and to identify moderate or severe MDD patients for which dose optimization is suggested [15]; iii) a grace period of ≤ 30 days is observed to switch from one AD to another, in order to narrow down to MDD patients who actually require a switch of therapy and not to include, for instance, patients with well-being periods without treatment; iv) if no changing occurs, treatment should be maintained at least for 9 months, reflecting the typical course of treatment with AD for an MDD episode. AD cycles who met the 4 TRD criteria could also be not consecutive, i.e. the presence of one or more AD cycles not responding to such criteria between 2 TRD cycles was allowed. Combination of two AD was considered as a single cycle.
Abbreviations: AD, antidepressant; TRD, treatment-resistant depression; SMPC, summary of product characteristics.

Figure 1: Criteria applied to the EMA definition of TRD for the identification of the study population.

The index date (ID) corresponded to the date of first AD prescription during inclusion period. To refine the study population, patients in treatment with antipsychotics (ATC code: N05A) or mood stabilizers (ATC code: N03A) in the 6 months prior ID (baseline period) were excluded as they could be affected by other psychiatric conditions. Patients were followed-up starting from ID until end of continuous eligibility or death. The study design is shown in (Figure 2).
Abbreviations: AD, antidepressant.

Figure 2: Study design.

2.3 Study variables
Previous use of antipsychotics or mood stabilizers was assessed in the 6 months prior the index AD prescription. Concerning treatment patterns, the percentage of patients with each AD (ATC code N06A) was calculated as the sum of patients with a specific AD divided by the total number of patients, and percentage of patients according to number of AD calculated as the sum of patients according to number of AD divided by the total number of patients. During follow-up, the following resources consumption was analysed: psychiatric visit (codes 94.12.1, 94.19.1), neurologic visit (code 89.13), psychiatric hospitalizations [Major Diagnostic Categories 19 (mental disorders)]. Percentage of patients with each resource was calculated as the sum of patients with a specific resource divided by the total number of patients. Add-on was defined as the presence of AD/mood stabilizers/antipsychotics during follow-up.

2.4 Sensitivity analysis
In order to assess how different TRD criteria could have influenced the results of the study, we conducted 2 different sensitivity analyses considering:
1. Only patients treated for ≥ 6 and ≥ 8 weeks with the same treatment instead of ≥ 4 weeks;
2. AD therapy prescribed at a dosage corresponding to the ratio between mean dosage for the TRD patients and maximum dosage according to the SMPC (mean maximum dosage). In this analysis, an estimate of the number of TRD patients for each Italian Region and autonomous province was attempted. This estimate was calculated multiplying the TRD patients resulting from the analysis by the ratio between subjects treated with AD in each Region/autonomous province and subjects treated with AD in Italy. The number of individuals treated with AD was taken from the Italian report on mental health of Ministry of Health [16].

2.5 Statistical analysis
Continuous variables were reported as mean ± standard deviation (SD), categorical variables were expressed as frequencies and percentages. All statistical analyses were performed using STATA SE, version 12.0.

3. Results
Considering the Italian population, 133,835 patients were estimated to be affected by TRD according to the criteria applied. Among them, 101,455 patients without antipsychotics or mood stabilizers prescriptions in the 6 months prior ID were included in the study, representing the 4.2% of patients treated with AD in Italy (Figure 3).

Abbreviations: TRD, treatment-resistant depression; AD, antidepressant.

Figure 3: Flowchart of included patients.
The more prescribed AD was venlafaxine (21.9%), followed by paroxetine (14.1%), duloxetine (12.6%), escitalopram (11.5%), sertraline (10.8%), citalopram (5.9%), clomipramine and bupropion (5.1% both), fluoxetine (4.4%), amitriptyline (3.8%) and other drugs (4.8%). During follow-up, 38.7% of patients were prescribed with 3 different AD, 27.1% with 4, 16.6% with 5, 8.9% with 6 and 8.7% received 7 or more AD. Mean of cycles duration was far above the threshold of 4 weeks and it progressively decreased as the number of cycles increased (Figure 4): mean duration was 21 weeks for the first cycle, 18 weeks for the second one, 17 weeks for third and fourth cycles and 16 weeks from the fifth cycle onwards. Given these results, a sensitivity analysis was undertaken considering higher thresholds of cycle duration with the same AD treatment: patients treated for ≥ 6 weeks with same AD were 97,223, while those treated for ≥ 8 weeks were 96,213. During follow-up, 35,260 patients had a psychiatric visit/hospitalization and 25,230 required an add-on (Figure 5A). Specifically, add-ons with other AD/mood stabilizers/antipsychotics were observed in the 8.7% of patients at the first cycle, 22.2% at second cycle and 57.3% from third cycle onwards. Starting from the third cycle, 34.7% of patients had one or more psychiatric visit/hospitalization and 24.9% had both psychiatric visit/hospitalization and add-on therapy.

One of the TRD criteria applied required at least one prescription for each AD at the maximum dosage labelled in SMPC. However, the maximum dosage could be limited by the onset of adverse events, and the strategy of dose increasing could not be effective for all classes of AD medications [17, 18].

A further sensitivity analysis was then performed, considering the 84.2% of maximum dosage instead, that corresponded to the mean maximum dosage. In this analysis, 130,049 patients were identified who met the 4 TRD...
criteria and accounted for the 5.4% of patients prescribed AD in Italy. This result provided a more likely estimate of TRD patients in Italy. Considering this criterion, an estimate of distribution of TRD patients across Italian Regions/autonomous province was ventured, as shown in Figure 6. Among the 130,049 patients, 45,198 were estimated to have a psychiatric visit/hospitalization, 32,341 an add-on as well as a psychiatric visit/hospitalization (Figure 5B).

Notes: * Patients fulfilling the selected criteria that required a psychiatric visit or psychiatric hospitalization; **Patients that required also an add-on therapy, defined as presence of other antidepressants/mood stabilizers/antipsychotics.

**Figure 5**: Patient distribution according to psychiatric visit/hospitalization considering (A) maximum dosage labelled in SMPC and (B) ratio between mean dosage prescribed/maximum dosage labelled in SMPC.

Notes: The estimate was obtained multiplying the TRD patients resulting from the analysis (N= 130,049) by the ratio between subjects treated with antidepressants in each Region/autonomous province and subjects treated with antidepressants in Italy.
4. Discussion

The substantial burden of MDD is likely attributable to the one-third of patients developing TRD. Hence, a thorough characterization of TRD patients in real-world settings is necessary to get a better understanding of this condition. Nevertheless, to date almost no evidences are available on TRD Italian patients. In the present study, we sought to address this issue by providing for the first time an estimation of TRD patients in the Italian population and describing their therapeutic pathway and drug utilization in Italian settings of clinical practice. To perform the analysis, we decided to stick to the definition of TRD stated by the EMA [11] exploiting 4 criteria to assess the adequate treatment duration, dosage and adherence to therapy. The results were then projected to the Italian population.
According to the majority of international guidelines [18] and the European Group for the Study of Resistant Depression (GSRD) [19], a sufficient treatment duration for an adequate AD trial administration should be of at least 4 weeks prior to analyse treatment response. In this study, we first applied a threshold of 4 weeks, that was far exceeded as mean cycles duration was found to range between 16 and 21 weeks. Olgiati et al. [20] highlighted that, given the complex nature of TRD, some patients may require longer treatment duration, and suggested that, regardless early signs of no response, an AD treatment should be continued for at least other 4 weeks. Moreover, in the STAR*D study was observed that the majority of patients achieved response or remission at or after 8 weeks of AD therapy [21]. We performed a sensitivity analysis considering a thresholds of 6 and 8 weeks, and our results showed that the overall sample changed in a negligible rate of patients, thus corroborating the validity of the criteria applied.

The TRD patients identified were estimated to account for the 5.4% of patients with AD in Italy. This percentage is lower if compared to what reported in literature for prevalence of TRD in MDD patients. However, it should be acknowledged that AD may be prescribed for conditions other than depression: in an Italian survey, Carta et al. [22] found that around half of the subjects assuming AD does not present a lifetime diagnosis of MDD, pointing out the broader use of AD.

A specific treatment for TRD has not yet been approved in Europe [23]. Adding an ulterior AD or other non-antidepressant agents to the current therapy regimen is considered a common strategy for patients resistant to 2 or more classes of AD [8]. Consistently, our findings showed that overall around one-fourth of patients required an add-on, which was observed in more than half of patients starting from the third cycle of therapy.

In our study, the “adequate dosage” considered in the TRD criteria was at first the maximum dosage labelled in SMPC. In deciding a proper dose, clinicians tend to prescribe low dose of AD to minimize risk of adverse events and, in case of partial response, a dose escalation is advised to improve the chances of positive outcomes [24]. Still, Fife et al. [25] reported that around one-third of the first AD therapy does not reach the minimum effective dose when it is stopped or switched. In line with the concept aforementioned, we selected the mean maximum dosage as criterion to reproduce a more realistic scenario of TRD population in Italy. Our findings estimated 130,049 patients with TRD in Italy.

The present study has some limitations. Our cohort of patients reflected real clinical practice, and the results must be interpreted taking into account the limitations related to the observational nature of the study, which was based on data collected from administrative databases. First, there is not a consensus definition for TRD: the present study tried to overcome this limitation using the most common accepted definition and performed sensitivity analysis to take into account different scenarios. Nevertheless, the selected criteria could have over- or under-estimated the number of TRD patients. Another limitation was the lack of clinical information related to comorbidities, the
severity of the pathology in terms of depressive episodes, other clinical considerations as persistence of symptoms and potential confounders that could have influenced our results. Furthermore, data on the use of pharmacological treatments were retrieved from medical prescriptions and dispensing, and actual drug use data were not available as well as the reasons requiring additional therapies. Moreover, the distribution of TRD patients at a regional level was a tentative estimate that may not reflect the actual situation in each Region/autonomous province.

5. Conclusion
This analysis gave an estimate at the National level of TRD patients in Italy. Our results showed that when the mean maximum dosage was selected as criterion, TRD population increased from 101,455 to 130,049. Although each single criterion taken alone could not be reliable for TRD identification, the 4 criteria together along with the exclusion of patients already and stably treated with antipsychotics and/or mood stabilizers could represent an accurate way to target the TRD population through data collected from administrative databases.

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Conflict of Interest
VP, DS and LDE report no conflicts of interest in this work. Clicon S.r.l. is an independent company. The agreement signed by Clicon S.r.l. and Janssen Italy does not create any entityship, joint venture or any similar relationship between parties. Neither CliCon S.r.l. nor any of their representatives are employees of Janssen Italy for any purpose. PCFM is employee of Hemar Department Janssen Italy Janssen Italy. MA, GD, BF, MG, CM, FM report no conflict of interest. GM has been consultant/speaker for: Angelini, Boheringer, Fb Health, Innovapharma, Italfarmaco, Janssen, Otsuka, Lundbeck, Sanofi. EZ has been consultant for Johnson & Johnson, Otsuka, Lundbeck.

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