

**Case Report** 

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## Abstract

The Sequenced Treatment Alternatives to Relieve Depression (STAR\*D) study, the largest study of MDD conducted in the United States, showed that even with enriched resources devoted to treatment, recovery with the first selected SSRI occurred only about 30% of the time. In fact, after initiating antidepressants, the time for an expected response is longer than the typical inpatient psychiatric unit length of stay. Ketamine constitutes a novel, rapid and efficacious treatment choice for patients suffering from moderate to severe treatment resistant depression and exhibits rapid and significant antidepressant and anti-suicidal effects. Intramuscular racemic ketamine, in subanesthetic doses, is an effective, safe, off-label treatment for severe/moderate treatment refractory depression and rapid stabilization of suicidal behaviors/ideations, in under 36 hours, post injection. No consultations warranted from other medical specialties. Larger, heterogeneous studies are needed, which provide historical data of continued response/remission following ketamine, whether from intramuscular or intravenous administration. Comparative studies are needed comparing efficacy between racemic, R-ketamine, and S-ketamine.

**Keywords:** Intramuscular racemic ketamine; BDNF (Brain-derived neurotrophic factor); Glutamate; GABA (gamma-aminobutyric acid); NMDA (N-Methyl D-Aspartate) Antagonist

### Introduction

In 2005, a single dose of ketamine was reported to rapidly decrease depression. "Whereas the intravenous route is predominantly employed route of administration, safety and efficacy have been with other routes [1]. Many studies highlighted intravenous infusion of ketamine, which is not only cumbersome, but poses safety concerns on an inpatient psychiatric unit. In the Indian Journal of Psychiatry, intramuscular racemic ketamine was administered to two patients with severe depression [2]. The Sequenced Treatment Alternatives to Relieve Depression (STAR\*D) study, the largest study of MDD conducted in the United States, showed that even with enriched resources devoted to treatment, recovery with the first selected SSRI occurred only about 30% of the time [3]. In fact, after initiating antidepressants, the time for an expected response is longer than the typical inpatient psychiatric unit length of stay. In order to determine whether a medication will lead to response (≥50% reduction in depressive symptoms) or remission (nearly complete resolution of symptoms), it is recommended that a physician wait to see if it will be effective [4]. "The proposed explanation of SSRI/

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SNRI's mechanism of action does not explain the temporal relationship between depressive crises and improvement of mood, occurring after the antidepressant initiation" [5]. Traditionally, patients suffering from Borderline Personality Disorder (BPD), have limited options for psychotropic medications; symptoms may worsen to include increased impulsivity and dangerous behaviors/cutting/suicide attempts. "Despite the common use of pharmacotherapies for patients with BPD, the available evidence does not support the efficacy of pharmacotherapies alone to reduce the severity of BPD" [6]. Borderline Personality Disorder associated impulsivity often, "results from disturbed inhibitory control, a function mainly regulated by GABA levels in the Anterior Cingulate Cortex (ACC), and the frontostriatal system" [7]. Ketamine constitutes a novel, rapid and efficacious treatment choice for patients suffering from moderate to severe treatment resistant depression and exhibits rapid and significant antidepressant and anti-suicidal effects. "Intravenous ketamine (0.5 mg/kg) produces robust, rapid and long-lasting antidepressant effects, but is unpractical" [8]. Brain-derived neurotrophic factor (BDNF) is a growth factor that regulates neurite outgrowth, functional neuronal connections, synapse formation and synaptic plasticity in the central nervous system [9]. Moreover, classical antidepressants induce BDNF-related changes following several weeks of administration. In contrast, ketamine administration rapidly (within 30 min of administration) increases the phosphorylation (activation) of hippocampal TrkB9.

New administration routes might serve as alternative to intravenous regimes for potential usage in outpatient settings [8]. A middle- aged male with severe depression, scoring >50 on MADRS, was initially amenable to Electroconvulsive Therapy) ECT. After watching ECT video, he rescinded. He was currently taking two different antidepressants, also on augmentation therapy; ECT being next step in treatment, urgently needed, based on significant depression. In reviewing some evidence-based literature, studies highlighted intravenous infusion of ketamine, which is not only cumbersome, but poses safety concerns on an inpatient psychiatric unit. As per Dr. Kenneth Certa, "psychiatric nursing staff may be uncomfortable with many "medical", interventions and medical needs are frequently unable to be met due to safety and licensure requirements [10]. "There have also been reports of repeated, spaced dosing of IM ketamine to maintain the antidepressant benefits, with repeated doses in some instances continued at 3-4-day intervals for months. [11]. Our client was counseled that a new indication for use of ketamine to help with severe depression, with him being amenable to trial, in lieu of ECT. Intramuscular injections are not uncommon on our inpatient unit, IV infusions were scarce, and the initial trial of intramuscular ketamine, as an antidepressant was administered by psychiatric nursing, at practicing hospital in 2019.

### **Case Presentations**

### Patient Initials Replaced by K1, K2, and K3. Legend with Corresponding Dates Of Signed Informed Consent Following

K1 is a 66 y/o female with past psychiatric history significant for MDD, severe, w/ psychotic features, with suicidal ideations and plan to commit suicide by drowning. At time of referral, she was admitted to the inpatient psychiatric unit at an affiliate hospital; She was fully compliant with her regimen consisting of bupropion 450 mg daily, loxapine mg TID, mirtazapine 15 mg HS, lamotrigine 100 mg bid, zolpidem 5 mg HS. At outside hospital ECT consultation completed, however ultimately patient refused, due to past adverse effects; she cited significant memory deficits. At >60 days length of stay, without significant improvement, referral made by psychiatrist, with acceptance of transfer. She was discharged from affiliate facility, transported to author's facility, admitted and bedded. (Presbyterian Medical Center (PMC) on 8/18/2021). On the second day of hospitalization, (8/19/2021), she was assessed and informed consent obtained. Denied auditory/visual hallucinations; was not responding to internal stimuli on exam. Baseline MADRS 41. Vital signs at baseline recorded with administration of intramuscular ketamine 25 mg IM x 1 (0.5 mg/kg) administered on day 1; with continuation of oral psychotropics. K2 is a 56 y/o married Caucasian male with past psychiatric history significant for MDD, recurrent, severe without psychotic features. Chronic course of depressive episodes with two previous suicide attempts. Over the past two months, neurovegetative symptoms worsened and suicidal ideations which have increased. Endorsed plan to check into a hotel, then commit suicide with a handgun after his family goes out of town. K2 and his psychiatrist discussed resuming maintenance ECT, or potentially a ketamine trial, with K2 opting for ketamine trial. On 6/12/2019, K2 was admitted to the psychiatric unit with ketamine injection scheduled the next day. No modifications in patient's home medication regimen - nortriptyline 150 mg QHS, lurasidone 120 mg daily, lithium 300 mg BID, levothyroxine 75 mcg daily, pantoprazole 40 mg daily, and atorvastatin 20 mg daily continued. Baseline MADRS: 21. 6/13/19: Informed consent obtained. Patient weight 126.1 kg. Intramuscular injection of 63 mg racemic ketamine (0.5 mg/kg) administered. K3 is a single, 19 year old female with past psychiatric history significant for Post-Traumatic Stress Disorder (PTSD), Severe Anorexia, and Borderline Personality Disorder, admitted on 9/28/21, to the inpatient psychiatric unit after she reported suicidal ideations. History of four suicide attempts by medication overdose. Medical history significant for irregular menstruation and had been believed to have Irritable Bowel Syndrome (IBS), after reporting gastrointestinal discomfort to her primary care provider (PCP). PCP reported her symptoms were not IBS, but more a consequence of restrictive eating, excessive



laxative ingestion and self-induced vomiting. K3 admitted to increased purging and reported drinking a 296 ml bottle of magnesium citrate daily. She was assessed 9/27/21 by her outpatient psychiatrist with continuation of aripiprazole 400 mg IM every 30 days, and mirtazapine 15 mg QHS. Previous antidepressants including, but not limited to, escitalopram, sertraline, fluoxetine, duloxetine, venlafaxine XR, olanzapine, lurasidone. K3 reported crisis after sexual assault weeks prior to admission. She was informed regarding racemic ketamine injection with goal of improving her mood/ decreasing suicidal ideations and she consented.

On 9/29/21 K3 received racemic ketamine 22 mg IM. Baseline MADRS score of 39.

## **Methods**

**Exclusion criteria**: Ketamine contraindicated in patients with allergies to ketamine, history of aortic dissection/ stenosis, uncontrolled HTN, Myocardial infarction or aneurysms.

Informed consent obtained of benefits, risks, side effects, obtained for each patient. Baseline and post-treatment MADRS obtained. Patients NPO for one hour prior to ketamine injection; two hours following. Dose corresponding to patient weight, (0.5 mg/kg), drawn by nursing, with verification by physician. Blood pressure and heart rate obtained at baseline and every 20 minutes thereafter, for 120 minutes. Patient were administered deltoid intramuscular racemic ketamine for treatment resistant depression; Patients agreed to sit for the monitoring period, in addition to being NPO for two hours after ketamine injection.

MADRS score calculated using www.MDCalc.com

### **Results**

Common side effects reported dizziness, disorientation, and dissociation. No episodes of hemodynamic instability or other significant adverse effect.

Rapid improvement in depression or suicidal behavior measured by MADRS (Montgomery- Aberg Depression Rating Scale) score reduction over 36 hours, with patients meeting criteria for treatment response, if not remission (Based on MADRS).

Following ketamine injections, patients continued hospitalization on inpatient unit, while routine discharge planning ongoing. No consults warranted from other medical specialties:

• K1 - MADRS day 1 post-injection was 9, reduced by 78%, meeting criteria for remission. MADRS score on next 3 days was 4. She was monitored on the inpatient unit, with no relapse of depression or adverse effects from her regimen. She was discharged to her skilled nursing facility on day 5 of hospitalization. (8/23/2021).

- K2 6/14/19: Patient voiced wanting to discharge and wife voiced no concerns, as she would be there to observe for safety. MADRS at DC purported to be 6-10 (MADRS not completed but nursing and patient report). Per patient, "The first fifteen minutes was like a roller coaster. I felt nauseous for a few minutes; I was altered, but not too bad. Then at about thirty minutes I felt an explosion in my brain. I went to sleep, slept through the night, and I woke up rested."
- K3 MADRS score 1 day after ketamine injection decreased from 39 to 19. Most notable was improvement in score for reduced appetite. MADRS two days after ketamine was 11, however increased to 20 on day three; She reported indigestion, however she had been limiting water/fluid intake. Treatment team encouraging increased fluid intake; She was given IV normal saline for dehydration however no further medical intervention was required. Last day writer assessed K3 was on day 5; She was reported by nursing to be eating 100% of meals with no purging observed. K3 was discharged on 10/7/21, with referral to eating disorder facility.

## Discussion

Ketamine has shown rapid, robust, reproducible improvement of depression, with no significant adverse effects. As of this writing, I have treated greater than 20 patients with intramuscular racemic ketamine on the inpatient psychiatric unit. Differences in pharmacokinetics of intramuscular





Change in MADRS Score First 24 Hours Post Ketamine



Figure 2: Change in MADRS Score First 24 Hours Post Ketamine



Change in MADRS Score Change At 24 Hours Post Ketamine Injection By Gender





vs intravenous administration of racemic ketamine is not known, however future studies may offer additional insight regarding ketamine metabolism post injection. There was no significant advantage seen with gender or age, in regards to antidepressant response following ketamine injection.

"Individual-level meaningful change for the PHQ-9 and MADRS was effectively quantified using a clinical anchor to interpret efficacy from patients with TRD and their treating clinicians. The most appropriate MCT for the MADRS was -10 points" [12].

# Clinically significant response from intramuscular racemic ketamine measured by greater than 10 point reduction of MADRS score:

In 2020, "the Missouri University Psychiatric Center, formed a ketamine infusion team composed solely of mental health clinicians and staff to investigate the use of ketamine infusions by a psychiatric team." [13] The use of IM ketamine does not require employment of other departments, with limited interruption of inpatient treatment plan, as patients return to baseline cognition by 120 minutes after injection.

FDA approved esketamine, the S-enantiomer of ketamine. In terms of chirality of ketamine, According to a 2021 study, "negatively experienced psychopathology with S-ketamine", and "antidepressant effect of ketamine might depend on a pleasant experience of altered consciousness. The ideal ketamine composition to treat depression should include R-ketamine" [14]. It is plausible racemic ketamine supplies both enantiomers, however specific enantiomer qualities/ characteristics have not been well characterized. Whether the stereoisomer precludes or limits antidepressant effects of R-ketamine or racemic ketamine?

Ketamine increased GABAergic neurotransmission, with stimulation of BDNF expression, is thought to result in increased neuroplasticity8; this may quickly palliate acute crises suffered by patients with BPD. Borderline Personality Disorder associated impulsivity often, "results from disturbed inhibitory control, a function mainly regulated by GABA levels in the Anterior Cingulate Cortex (ACC), and the frontostriatal system" [15].

Screening candidates, excluding patients with contraindications, significant recent substance use, ongoing psychotic crises, should be involved with prescribing of ketamine.

### Conclusion

Intramuscular racemic ketamine, in subanesthetic doses, is an effective, safe, off-label treatment for severe/moderate treatment refractory depression and rapid stabilization of suicidal behaviors/ideations, in under 36 hours, post injection. No consultations warranted from other medical specialties. Although these findings are promising, larger, heterogeneous studies are needed, which provide historical data of continued response/remission following ketamine, whether from intramuscular or intravenous administration. Comparative studies are needed comparing efficacy between racemic, R-ketamine, and S-ketamine.

### **Author Contributions**

The author of this paper managed data collection, data interpretation, and drafting the manuscript.

### **Conflict of Interest**

The author declares that he has no financial, or any other conflicts of interest.

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APPENDIX

Following list of patients with medical record number. The informed consents are for patients denoted K1, K2, and K3.

- 1. Anna Farr MRN: 50373447
- 2. Kathleen Klepaki MRN: 52985128
- 3. Lori Sturek MRN:70019391
- 4. Jamie Stocker MRN: 70120966
- 5. David Bernat MRN: 50358153
- 6. Joseph Zullo MRN: 42475594
- 7. Alexis Sharpe MRN:72213485
- K1: Karen Hambleton MRN: 50002601
- K2: Henry Prentice MRN: 51173125
- K3: Katy Montelongo MRN: 73000110

th restriction to outpatient only.
th restriction to outpatient only.
nent-resistant depression.
Intidepressant effects is unknown, it is associated in depressive disorder. Ine dose of 0.5 mg/kg IV infusion over 40 minutes ne IV is not authorized on BH units at NH, provide Is by Location" to all Novant Health facilities with in
Fucharlos Criteria
Aneurysmal vascular disease     Arteriovenous malformation     History of intracerebral hemorrhage     Hypersensitivity to esketamine, ketaminany excipients     Uncontrolled hypertension (SBP >140 m     Uncontrolled heart rate (HR >100 bpm)     SpO <sub>2</sub> < 94%     Active/history of substance use disorded



Case Report: Intramuscular ketamine administration in treatment resistant depression Principal Investigator: Nathan Carter, MD

> Novant Presbyterian Medical Genter 312-330-6539 (c)

You are being asked to consider allowing Dr. Nathan Carter to use information about your experience with ketamine to write what is called a case report. Case reports are typically used to share new unique information experienced by one patient during his/her clinical care that may be useful for other physicians and members of a health care team. A case report may be published for others to read, and/or presented at a conference. This form explains the purpose of this case report. Please read this form carefully and take your time to make your decision and ask any questions that you may have.

The purpose of this case report is to inform other physicians that intramuscular ketamine administration may be effective in rapid relief of depression; safely.

Your information being used for this case report includes non-specific identifying information such as age, gender, previous medical history, family history, marital status, and employment.

Dr. Nathan Carter is obligated to protect your privacy and not disclose your personal information (information about you and your health that identifies you as an individual e.g. name, date of birth, medical record number). When the case report is published or presented, your identity will not be disclosed.

Although your personal information collected or obtained will be kept confidential and protected to the fullest extent of the law, there is a limited risk associated with this case report that could result in a loss of confidentiality by virtue of your unique experience.

You will not directly benefit from participating in this case report. The information that can be shared with other health care professionals, however, may improve the care that is received by others in the future.

Allowing your information to be used in this case report will not involve any additional costs to you. You will not receive any compensation.

Taking part in this case report is your choice (voluntary). You may choose not to take part or you may change your mind at any time. However, once the case report is

written and published, it will not be possible for you to withdraw it. Your decision will not result in any penalty or loss of benefits to which you are entitled including the quality of care you receive.

You will be told about any new information relating to this case report that may affect you.

Your signature below means that you have read the above information about this Case Report and have had a chance to ask questions to help you understand how your information will be used and that you give permission to allow your information to be used in this case report.

If you have any questions or concerns regarding the publication/presentation of the case, or if any problems arise, please contact Dr. Carter at (312) 330-6539 or at (980) 272-1908.

### SUBJECT CONSENT TO PARTICIPATE

Case Report: Intramuscular ketamine administration in treatment resistant depression.

Name of Participant: \_

Karan Hambleton DOB 3/9/55

- Participant/Substitute decision-maker By signing this form, I confirm that: The case report has been fully explained to me and all of my questions have been answered to my satisfaction

  - I have been informed of the risks and benefits, if any, of allowing my information to be used in this case report
  - I have been informed that I do not have to participate in this case report
    - I have read each page of this form
    - I authorize access to my personal health information (medical record) as
    - explained in this form I have agreed to participate in this case report

Karen Handeloton Decision-maker (print)

Kasen Nambleton 3-19-2021



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### SUBJECT CONSENT TO PARTICIPATE

Case Report: Intramuscular ketamine administration in treatment resistant depression

Name of Participant:

Hambleton DOB 3/9/05

- Participant/Substitute decision maker
  By signing this form, I confirm that:

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    - explained in this form
    - I have agreed to participate in this case report

Karen

Karen Manpleton Kasen Nambalan 3-19-2021 ciaion-maker (print)

K2 - Informed Consent: Henry Prentice: Ketamine administration 9/24/2019

Taking part in this case report is your choice (voluntary). You may choose not to take part or you may change your mind at any time. However, once the case report is written and published, it will not be possible for you to withdraw it. Your decision will not result in any penalty or loss of benefits to which you are entitled including the quality of care you require.

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### SUBJECT CONSENT TO PARTICIPATE

Case Report: Intramuscular ketamine administration in treatment resistant depression.

HENRY NENTICE Name of Participant:

Participant/Substitute decision-make: By signing this form, I confirm that

- The case report has been fully explained to me and all of my questions have been answered to my satisfaction. I have been informed of the risks and benefits, if any, of allowing my information to be used in this case report. -
- .

- I have been informed that I do not have to participate in this case report I have read each page of this form
- I authorize access to my personal health information (medical record) as explained in this form .
- -I have agreed to participate in this case report

Alen P 101.6



written and published, it will not be possible for you to withdraw it. Your decision will not result in any penalty or loss of benefits to which you are entitled including the quality of care you receive.

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# SUBJECT CONSENT TO PARTICIPATE

Case Report: Intramuscular ketamine administration in treatment resistant depression.

Katy Montelongo Name of Participant:

## Participant/Substitute decision-maker

By signing this form, I confirm that:

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- · I have been informed that I do not have to participate in this case report
- · I have read each page of this form
- I authorize access to my personal health information (medical record) as explained in this form
- I have agreed to participate in this case report

Name of Participant/Substitute Decision-maker (print)